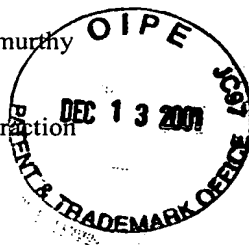


## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Arvind Krishnamurthy  
 Serial No.: 09/933,888  
 Filed: August 20, 2001  
 For: Method And Apparatus For Extraction

Group No.: 2171  
 Examiner: Unassigned

Commissioner for Patents  
 Washington, D.C. 20231



## COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed September 25, 2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

## DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

## SUPPLEMENTAL PRELIMINARY AMENDMENT

- IV. Enclosed is a Supplemental Preliminary Amendment.

## SMALL ENTITY STATUS

- V. Applicant claims small entity status. See 37 CFR 1.27.

## COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$370.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$0
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$0
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
5. Assignment (See "ASSIGNMENT COVER SHEET".)	<u>\$40.00</u>
6. Total completion fees	\$475.00

## EXTENSION OF TIME

- VI. Applicant notes that there is a one month extension of time fee due. Fee Due: \$55.00

## REQUEST FOR CORRECTED FILING RECEIPT

- VII. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	- Arvind Krishnamurthy, Hamden, CT; Jaswinder P. Singh, New York, NY; Randolph Wang, Princeton, NJ; Xiang Yu, College Park, MD.
2. Priority Claim 01/18/2002 HMOHAMM1 00000006 232415 09933888 01 FC:215 55.00 CH	- This Appl is a CIP of 09/933,885 08/20/2001 And claims priority to 60/226,479 08/18/2000 And claims priority to 60/227,125 08/22/2000 And claims priority to 60/227,875 08/25/2000--.
3. Entity status	- Small

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

## TOTAL FEE DUE

- VIII. The total fee due is: Completion fees \$475.00, Extension of Time Fees \$55.00, Corrected Filing Receipt Fees \$25.00.

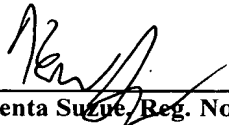
**TOTAL FEE DUE \$555.00**

~~01/03/2002 SDUONG 00000011 232415 09933888~~  
 01 FC:115 110.00 CH

Adjustment date: 01/18/2002 HMOHAMM1  
 01/03/2002 SDUONG 00000011 232415 09933888  
 01 FC:115 110.00 CR

**PAYMENT OF FEES**

- IX. Charge Account No. 23-2415 (25961-704) in the amount of \$555.00.  
Please charge Account No. 23-2415 (25961-704) for any fees that may be due by this paper.

  
\_\_\_\_\_  
Kenta Suzuki, Reg. No. 45,145

Wilson Sonsini Goodrich & Rosati  
650 Page Mill Road  
Palo Alto, CA 94304  
(650) 493-9300  
Customer No. 021971

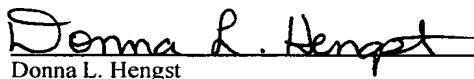
---

**CERTIFICATE OF EXPRESS MAILING (37 C.F.R. 1.8)**

- "Express Mail" Mailing Label No. EL713755446US.

I hereby certify that this paper or fee is being deposited, with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: **Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.**

Date: December 13, 2001

  
\_\_\_\_\_  
Donna L. Hengst

RECEIVED

SEP 29 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/933,888	08/20/2001	2171	0.00	25961-704	11	317	16

CONFIRMATION NO. 6372

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

FILING RECEIPT



\*OC000000006784068\*

DOCKETED

Date Mailed: 09/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Arvind Krishnamurthy, [Residence Not Provided] Hamden, CT;  
Jaswinder P. Singh, [Residence Not Provided] New York, NY;  
Randolph Wang, [Residence Not Provided] Princeton, NJ;  
Xiang Yu, [Residence Not Provided] College Park, MD --

Domestic Priority data as claimed by applicant

-- This Appln is a CIP of 09/933,885 08/20/2001

Foreign Applications

And claims priority to 60/226,479 08/18/2000  
And claims priority to 60/227,125 08/22/2000  
And claims priority to 60/227,875 08/25/2000 --

If Required, Foreign Filing License Granted 09/24/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Method and apparatus for extraction

Preliminary Class

707

RECEIVED

SEP 29 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/933,888	08/20/2001	Arvind Krishnamurthy	25961-704

CONFIRMATION NO. 6372

## FORMALITIES LETTER



\*OC000000006784069\*

 021971  
 WILSON SONSINI GOODRICH & ROSATI  
 650 PAGE MILL ROAD  
 PALO ALTO, CA 943041050

Date Mailed: 09/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$6386.
  - \$5346 for 297 total claims over 20.
  - \$1040 for 13 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 7226.

09/25/01 10:00:00 00000000 202425 09333000

 09/25/01 075.00 01  
 09/25/01 000.00 01
A copy of this notice **MUST** be returned with the reply.

CL

 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Part 2 - copy w/ response

WSGR PATENT DOCKET	
U.S.: <input checked="" type="checkbox"/>	FOREIGN: <input type="checkbox"/>
DOCKETED: 09/25/01	BY: D.C.
ACTION: US MEX. P.P.T.C.	
DUE DATES: LUE: 11/25/01	
Final: 01/25/02	
ATTY: RSG	CM #: 25961-704